

# ANNUAL REPORT



GRANTS RESEARCH INFORMATION CENTER

FISCAL YEAR 2006

*PREPARED FOR*

**DR. DUANE ANDERSON**  
**VICE-PRESIDENT FOR ACADEMIC AFFAIRS**  
**EAST CENTRAL UNIVERSITY**

*SUBMITTED BY*

**C. J. VIRES, DIRECTOR**  
**GRANTS RESEARCH INFORMATION CENTER**  
**INTERIM ASSISTANT VICE PRESIDENT FOR ACADEMIC AFFAIRS**  
**DR. VIVIAN WILLIAMSON WHITNEY, GRANTS RESEARCH SPECIALIST**  
**EAST CENTRAL UNIVERSITY**

*JUNE, 2006*

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## *Academic Affairs Council Work Plan*

The Grants Research Information Center (GRIC) worked toward accomplishing the following goals as established in the 2005-06 Academic Affairs Council (AAC) Work Plan:

A. *Grants Research Information Center director and staff will seek to:*

1. *participate in the completion of the new University Strategic Plan as requested by the President and/or the Vice President for Academic Affairs;*

The Director of the Grants Research Information Center served as a member of the Ad hoc Strategic Planning Committee and chaired the Writing Subcommittee.

2. *seek external funding opportunities that support student retention and graduation;*

During FY '06, GRIC staff identified external funding opportunities to support student retention and graduation. As a result of those efforts, \$3,427,394 in external funds were awarded to programs designed to support student retention and graduation.

- *Academic Affairs*
  - ☞ McNair Scholars Program; USDOED, \$246,172
  - ☞ Title III Cooperative Grant; USDOED, \$408,802
  - ☞ Environmental Research Apprenticeship Program; USEPA, \$169,000
  - ☞ Brain Gain FY06; OSRHE, \$67,495
- *Mathematics and Science*
  - ☞ Research and Engineering Apprenticeship Program; Academy of Applied Science, \$5,200
  - ☞ Bridges to the Baccalaureate; NIH, \$195,659
  - ☞ Basic Nurse Education and Practice Program; HRSA, \$194,385
  - ☞ The NASA Space Grant and College Fellowship; University of Oklahoma/NASA, \$15,000
  - ☞ SURE-STEP Supporting Undergraduate Research; UCO/NSF, \$143,366
  - ☞ Native Americans into Environmental Health; USEPA, \$26,500
  - ☞ Connecting Problem Solving Strategies; USHRE, \$14,700
  - ☞ Oklahoma State Science and Engineering; OSDE, \$46,728
  - ☞ Oklahoma State Science and Engineering; Conoco Phillips, \$5,500
- *Student Services*
  - ☞ Veterans Upward Bound; USDOED, \$492,782
  - ☞ Educational Opportunity Center; USDOED, \$442,017
  - ☞ Student Support Services Program; USDOED, \$412,221
  - ☞ ECU Educational Talent Search; USDOED, \$523,351
  - ☞ Chickasaw National Counseling and Tutoring Services; Chickasaw Nation, \$16,000
  - ☞ Sexual Assault Prevention Education Program; OK DHS, \$2,516

3. *work with GRIC staff to update the website monthly;*

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The GRIC website was updated monthly through April by Carla Ackley. Carla left in April and the position was not replaced.

4. *work with GRIC staff and users to develop a mission statement that aligns with the ECU's mission;*
  - The following protocol was used by GRIC staff to develop the Grant Research Information Center's mission statement. The GRIC staff collaboratively:
    - ☞ Reviewed the East Central University mission statement;
    - ☞ Reviewed GRIC responsibilities and functions;
    - ☞ Identified elements of the ECU mission statement that aligned with GRIC responsibilities and functions;
    - ☞ Formulated five statements that contained synergistic elements of the ECU mission statement and GRIC responsibilities and functions;
    - ☞ Distilled the following key responsibilities and functions from the five statements- supports, facilitates, creates, expands, enhances high quality scholarly research and grantsmanship; and
    - ☞ Arrived at consensus on the mission statement for the Grant Research Information Center.

*The Grants Research Information Center supports the mission of East Central University by facilitating the creation, expansion and enhancement of high quality scholarly research and grantsmanship.*

5. *prepare a two-page GRIC overview;*
  - ☞ The GRIC developed a two-page overview. The GRIC overview was previously submitted and, therefore, is not included in this document.
6. *increase external funding to \$13 million by focusing efforts on the following areas:*
  - *University*
  - *School of Math and Sciences*
  - *School of Humanities and Social Sciences*
  - *School of Education*
  - *School of Business*

External funding for 2005-2006 totaled \$11,274,164. FY 06 funding represents a 10.67% decrease from FY 05 funding of \$12,621,028. However, the majority of the decrease was tied to one funded project. In FY 05, ECU received a contract totaling \$4,533,003 from the Oklahoma Commission for Teacher Preparation (OCTP). In FY 06 the contract amount was \$2,320,000, a reduction of \$2,213,003. In essence, funding increased by nearly \$1 million in FY 2006, excluding the OCTP contract.

University

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- Retention and graduation (\$3,427,394)
  - ☞ McNair Scholars Program; USDOED, \$246,172
  - ☞ Title III Cooperative Grant; USDOED, \$408,802
  - ☞ Environmental Research Apprenticeship Program; USEPA, \$169,000
  - ☞ Brain Gain FY06; OSRHE, \$67,495
  - ☞ Research and Engineering Apprenticeship Program; Academy of Applied Science, \$5,200
  - ☞ Bridges to the Baccalaureate; NIH, \$195,659
  - ☞ Basic Nurse Education and Practice Program; HRSA, \$194,385
  - ☞ The NASA Space Grant and College Fellowship; University of Oklahoma/NASA, \$15,000
  - ☞ SURE-STEP Supporting Undergraduate Research; UCO/NSF, \$143,366
  - ☞ Native Americans into Environmental Health; USEPA, \$26,500
  - ☞ Connecting Problem Solving Strategies; USHRE, \$14,700
  - ☞ Veterans Upward Bound; USDOED, \$492,782
  - ☞ Educational Opportunity Center; USDOED, \$442,017
  - ☞ Student Support Services Program; USDOED, \$412,221
  - ☞ ECU Educational Talent Search; USDOED, \$523,351
  - ☞ Chickasaw National Counseling and Tutoring Services; Chickasaw Nation, \$16,000
  - ☞ Sexual Assault Prevention Education Program; OK DHS, \$2,516
  - ☞ Oklahoma State Science and Engineering; OSDE, \$46,728
  - ☞ Oklahoma State Science and Engineering; Conoco Phillips; \$5,500
- Centennial Celebration (\$3,500)
  - ☞ Oklahoma Centennial Suite for Band Concert; ECU Foundation and Ada Arts Council, \$3,500
- Capital improvements (\$930,993)
  - ☞ Inasmuch Challenge Grant; Inasmuch Foundation, \$600,000
  - ☞ KaBoom Playground; KaBoom, \$35,000
  - ☞ COPS 2005 Technology Grant; USDOJ, \$295,993
- Economic Development (\$391,456)
  - ☞ Small Business Development Center; SEOSU, \$152,779
  - ☞ Joint Opportunities for Building Success; Federal Home Loan Bank, \$25,000
  - ☞ NASA Workforce Development Travel Grant; University of Oklahoma/NASA, \$6,087
  - ☞ Tribal Police Training Program; USDOJ, \$100,000
  - ☞ Child Welfare Professional Enhancement Program; University of Oklahoma, \$91,413
  - ☞ Research and Engineering Apprenticeship Program; Academy of Applied Science, \$5,200
  - ☞ Oklahoma Centennial Suite for Band Concert; ECU Foundation and Ada Arts Council, \$3,500
  - ☞ After Perestroika: Achievements and Perspectives; OK Humanities Council, \$1,500

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- ☞ State Appropriated Funds for Special Projects; SODA and ODOC, \$2,777
- ☞ ECU Day of Percussion; Ada Arts and Humanities Council, Corporate Sponsors, \$3,200

- Institutional Capacity (\$2,637,024)

- ☞ Brain Gain FY06; OSRHE, \$67,495
- ☞ Campus EIA Oracle Portal Project; Campus EAI/Oracle, \$188,500
- ☞ COPS 2005 Technology Grant; USDOJ, \$295,993
- ☞ Bridges to the Baccalaureate; NIH, \$195,659
- ☞ Gear Up Team Project; USDOED, \$245,600
- ☞ Title III Cooperative Grant; USDOED, \$408,802
- ☞ Title III Grant (CETL); USDOED, \$398,474
- ☞ Interagency Cooperative Contract Agreement; TXDAR, \$55,144
- ☞ Upward Bound; USDOED, \$492,782
- ☞ Upward Bound Regional Math/Science; USDOED, \$288,575

- Continuing Education (\$4,250,999)

- ☞ KaBoom Playground; KaBoom, \$35,000
- ☞ Petro Active; OERB, \$103,858
- ☞ Fossils to Fuel; OERB, \$212,404
- ☞ Core Energy; OERB, \$320,463
- ☞ Literacy First - Phase I, II, III; OCTP, \$2,320,000
- ☞ Child Care Resource & Referral Center; OK CCRR, \$169,967
- ☞ Developmental Disabilities; OK DHS, \$671,990
- ☞ Responsible Choices in Interpersonal Relationships; OK DHS, \$246,642
- ☞ Early Settlement-South Central; Oklahoma State Supreme Court, \$76,487
- ☞ Early Settlement- East Central; Oklahoma State Supreme Court, \$69,932
- ☞ Center for Leadership and Learning; University of Oklahoma/HSC, \$10,000
- ☞ Geriatric Education; University of Oklahoma/HSC, \$14,256

## School of Math and Sciences (\$794,115)

- Biology

- ☞ Research and Engineering Apprenticeship Program; Academy of Applied Science, \$5,200
- ☞ Bridges to the Baccalaureate; NIH, \$195,659

- Cartography

- ☞ Oklahoma Atlas Institute; Oklahoma Geography Fund; \$25,000
- ☞ NASA Workforce Development Travel Grant; University of Oklahoma/NASA, \$6,087
- ☞ The NASA Space Grant and College Fellowship; University of Oklahoma/NASA, \$15,000

- Chemistry

- ☞ SURE-STEP Supporting Undergraduate Research; UCO/NSF, \$143,366

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- Environmental Health Science
  - ☞ Native Americans into Environmental Health; USEPA, \$26,500
  - ☞ Native American Healthy Housing Training; NCHH, \$14,900
  - ☞ Oklahoma State Science and Engineering; OSDE, \$46,728
  - ☞ Oklahoma State Science and Engineering; Conoco Phillips, \$5,500
- Mathematics
  - ☞ Mathematics Partnership Program of Pontotoc County; OSDE, \$59,488
  - ☞ Mathematics Partnership Program of Southeastern Oklahoma; OSDE, \$41,602
  - ☞ Connecting Problem Solving Strategies; USHRE, \$14,700
- Nursing
  - ☞ Basic Nurse Education and Practice Program; HRSA, \$194,385

## School of Humanities and Social Sciences (\$1,098,007)

- English & Languages
  - ☞ Scissortail Writer's Festival; OK Arts Council, \$700
  - ☞ Oklahoma Humanities Council Scholar Research; OK Humanities Council, \$500
  - ☞ After Perestroika: Achievements and Perspectives; OK Humanities Council, \$1,500
  - ☞ State Appropriated Funds for Special Projects; SODA and ODOC, \$2,777
- History
  - ☞ Creating History; USDOED, \$159,146
  - ☞ Teaching as Historians; USDOED, \$157,110
- Human Resources
  - ☞ Rehabilitation Long-Term Training Rehabilitation; RSA, \$150,062
  - ☞ Rehab. Training-Long Term: Undergraduate; RSA, \$75,762
  - ☞ COPS 2005 Technology Grant; USDOJ, \$295,993
  - ☞ Tribal Police Training Program; USDOJ, \$100,000
  - ☞ Interagency Cooperative Contract Agreement; TXDAR, \$55,144
  - ☞ Child Welfare Professional Enhancement Program; University of Oklahoma, \$91,413
- Music
  - ☞ Shawn Thuris, Vocal Recital; OK Arts Council, \$1,200
  - ☞ ECU Day of Percussion; Ada Arts and Humanities Council, Corporate Sponsors, \$3,200
  - ☞ Oklahoma Centennial Suite for Band Concert; Ada Arts and Humanities Council, \$3,500

## School of Education and Psychology (\$183,797)

- Education
  - ☞ Training for All Teachers; USDOED, \$174,032
  - ☞ Opportunities for Educators; OSDE, \$9,765

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## School of Business (\$177,779)

- Center of Excellence in Entrepreneurship  
    ☞ Joint Opportunities for Building Success; Federal Home Loan Bank, \$25,000
- Business Administration  
    ☞ Small Business Development Center; SEOSU, \$152,779

B. *The Grants Research Information Center has worked to accomplish these additional goals:*

1. *facilitate the further development of networks to share grant information and expertise within the university community;*

- The GRIC continued to provide grant information to ECU schools, departments and units in a variety of formats including email updates, websites and personal visits.

2. *work with Talent Search, Educational Opportunity Center and Veterans Upward Bound staff to submit continuation proposals;*

- GRIC staff assisted directors of the Educational Opportunity Center and Talent Search in the completion of continuation proposals. Technical assistance was also provided to electronically submit grants via grants.gov. The Department of Education did not require Veterans Upward Bound PIs to submit continuation proposals during FY'06.

3. *complete Phase I Implementation of the Jenzabar project;*

- The Director of the Grants Research Information Center completed Phase I implementation of Jenzabar within the Academic Unit.

4. *hire and mentor a Grants Research Specialist;*

Dr. Vivian Whitney was hired as the Interim Grants Research Specialist in September 2005. The GRIC Director has involved Dr. Whitney in all operational aspects of the GRIC, providing opportunities for knowledge growth, skill acquisition, reflection and refinement. Dr. Whitney has demonstrated growth in all areas.

5. *implement personnel and incentive strategies that further enhance external funding efforts at ECU.*

The GRIC presented a Continued Growth Proposal to Dr. Richard Rafes. The proposal included additional personnel and incentive strategies to further enhance external funding efforts at ECU. The proposal included a recommendation to reinvigorate the grant incentive program and a recommendation to implement an indirect cost recovery program. Each recommendation is provided below:

## Indirect Cost Recovery Recommendation

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A portion of indirect costs from externally funded projects should be appropriated back to individuals, departments and schools to further encourage the pursuit of external funding. A policy should be developed that allows individuals, departments and schools to be rewarded in proportion to their willingness to assume risk and in proportion to their successes. Such a policy will reward individuals via a stipend while providing supplemental appropriations to departments and schools. Funds awarded to departments and schools will provide resources to increase research and external funding capacity. The following indirect cost recovery matrix provides a recommended approach as a basis for this policy.

Amount Funded w/in School or Unit	Individual	Department	School	Institution	Total
\$1 - \$249,999	0%	0%	0%	100%	100%
\$250,000 - \$499,999	5%	0%	0%	95%	100%
\$500,000 - \$999,999	5%	5%	0%	90%	100%
\$1,000,000 - \$1,999,999	10%	5%	5%	80%	100%
\$2,000,000+	10%	10%	10%	70%	100%

## Grant Incentive Program

The existing incentive program has been in place since 1991. A revised program that increases the previous award amounts and that extends eligibility to staff will further recognize and reward individuals for pursuing and securing external funding.

<b>First-time Writer Incentive</b>	
<b>Level of Funding</b>	<b>Incentive Amount</b>
\$1 - \$99,999	\$100
\$100,000+	\$200
<b>Funded Grant Incentive</b>	
<b>Level of Funding</b>	<b>Incentive Amount</b>
\$1 - \$24,999	\$100
\$25,000 - \$49,999	\$200
\$50,000 - \$74,999	\$300
\$75,000 - \$99,999	\$400
\$100,000+	\$500

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## *Academic Plan Priorities*

### Priority One:

*Improve quality of all baccalaureate degree and pre-professional programs*

The following externally funded projects supported Priority One in 2005-2006.

<b>Funded Project</b>	<b>Amount</b>
Student Support Services Program	\$412,221
Basic Nurse Education and Practice Program	\$194,385
Title III Cooperative Grant	\$408,802
SURE Step	\$143,366
Brain Gain Improvement Project	\$67,495
Chickasaw Nation Counseling and Tutoring	\$16,000
Research and Engineering Apprenticeship	\$5,200
Environmental Research Apprenticeship	\$169,000
Native Americans into Environmental Health	\$26,500
Connecting Problem Solving Strategies	\$14,700
Title III Grant (CETL)	\$398,474
Inasmuch Challenge Grant	\$600,000
COPS 2005 Technology Grant	\$295,993
Child Welfare Professional Enhancement Program	\$91,413
Interagency Cooperative Contract Agreement	\$55,144
The NASA Space Grant and College Fellowship	\$15,000
NASA Workforce Development Travel Grant	\$6,087
Creating History	\$159,146
Oklahoma State Science and Engineering Fair	\$46,728
Oklahoma State Science and Engineering Fair	\$5,500
Teaching as Historians	\$157,110
<b>TOTAL</b>	<b>\$3,288,264</b>

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## Priority Three:

*Improve student retention rates and increase the number of undergraduate degrees awarded*

<b>Funded Project</b>	<b>Amount</b>
Student Support Services Program	\$401,957
Basic Nurse Education and Practice Program	\$194,385
Title III Cooperative Grant	\$408,802
SURE Step	\$143,366
McNair Scholars Program	\$246,172
NASA Space Grant - College Fellowship Program	\$15,000
Research and Engineering Apprenticeship	\$5,200
Brain Gain Improvement Project	\$67,495
Chickasaw Nation Counseling and Tutoring	\$16,000
Environmental Research Apprenticeship	\$169,000
Bridges to the Baccalaureate	\$195,659
Native Americans into Environmental Health	\$26,500
Connecting Problem Solving Strategies	\$14,700
Veterans Upward Bound	\$267,962
Educational Opportunity Center	\$442,017
ECU Talent Search	\$523,351
Sexual Assault Prevention Education	\$2,516
Oklahoma State Science and Engineering Fair	\$46,728
Oklahoma State Science and Engineering Fair	\$5,500
<b>TOTAL</b>	<b>\$3,192,310</b>

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## ***Priority Four:***

*Infuse academic programs with appropriate electronic technology and update equipment as needed*

***Objective 1:*** *Annually seek external funds to assist E & G budgeting*

***Objective 2:*** *Incorporate computer technology/literacy across the curriculum*

***Objective 3:*** *Upgrade and expand, as needed, faculty offices and student labs with computers and other appropriate electronic technology in support of instruction*

***Objective 4:*** *Utilize the Information Technology Services unit to coordinate campus technology*

The following externally funded projects supported objectives 1, 2, 3 and 4 in 2005-2006.

<b>Funded Project</b>	<b>Amount</b>
<b>Title III</b>	<b>\$394,474</b>
<b>Title III Cooperative</b>	<b>\$408,802</b>
<b>Basic Nurse Education and Practice Program</b>	<b>\$194,385</b>
<b>COPS 2005 Technology Grant</b>	<b>\$295,993</b>
<b>Campus EAI Oracle Portal Grant</b>	<b>\$188,500</b>
<b>TOTAL</b>	<b>\$1,482,154</b>

## ***Priority Six:***

*Expand academic involvement with the external university community*

***Objective 1:*** *Develop new undergraduate and graduate programs to meet documented economic and manpower needs in the University's service area*

***Objective 2:*** *Strengthen the University's outreach component for economic development and public service*

***Objective 3:*** *Utilize OneNet's distance learning capabilities to serve public and private sector education needs*

The success of the external funding program at ECU is closely linked to the University's ability and willingness to reach beyond the campus boundaries to forge new partnerships with the surrounding community. Tangible impacts of external programming are most evident in increased employment opportunities. In FY 2006, ECU's Educational and General Budget, Part II reported that externally sponsored projects collectively supported salaries and wages totaling \$4,850,240.

In addition, the following externally funded projects supported objectives 1, 2 and 3 in 2005-2006.

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## Priority Six:

Funded Project	Objective	# of Partners	Amount
Research and Engineering Apprenticeship Program	1, 2	1	\$5,200
Campus EAI Oracle Portal Project	3	1	\$188,500
Chickasaw Nation Counseling and Tutoring Services	2	1	\$16,000
Joint Opportunities for Building Success	2	1	\$25,000
Challenge Grant	2	2	\$600,000
KaBoom Playground	2	231	\$35,000
Native American Healthy Housing Training	2	2	\$14,900
Petro Active	2	1	\$103,858
Fossils to Fuel	2	1	\$212,404
Core Energy	2	1	\$320,463
Oklahoma Atlas Institute	2	1	\$25,000
Literacy First - Phase I, II, III	1	1	\$2,320,000
Scissortail Writers' Festival	2	3	\$700
Shawn Thuris, Vocal Recital	2	1	\$1,200
Child Care Resource & Referral Center	2		\$169,967
Developmental Disabilities	2	1	\$671,990
Responsible Choices in Interpersonal Relationships	2	1	\$246,642
After Perestroika: Achievements & Perspectives	2	15	\$1,500
Early Settlement-South Central	2	1	\$69,837
Early Settlement-East Central	2	1	\$69,932
Opportunities for Educators	2	1	\$9,765
Mathematics Partnership Program of Pontotoc County	2	9	\$59,488

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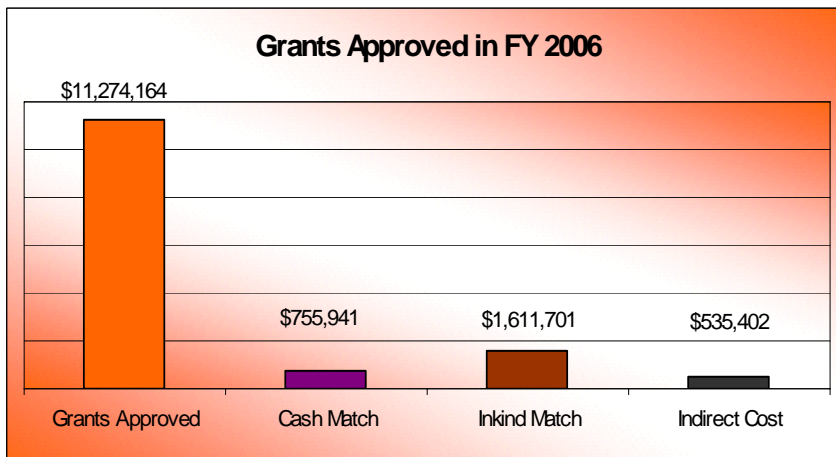
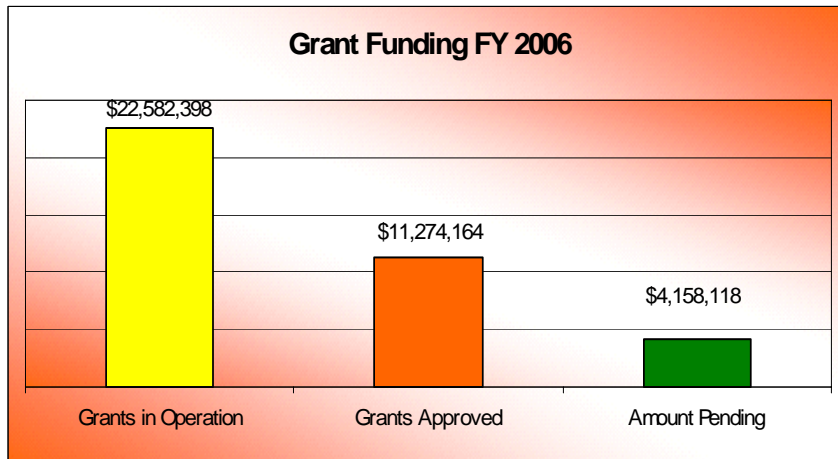
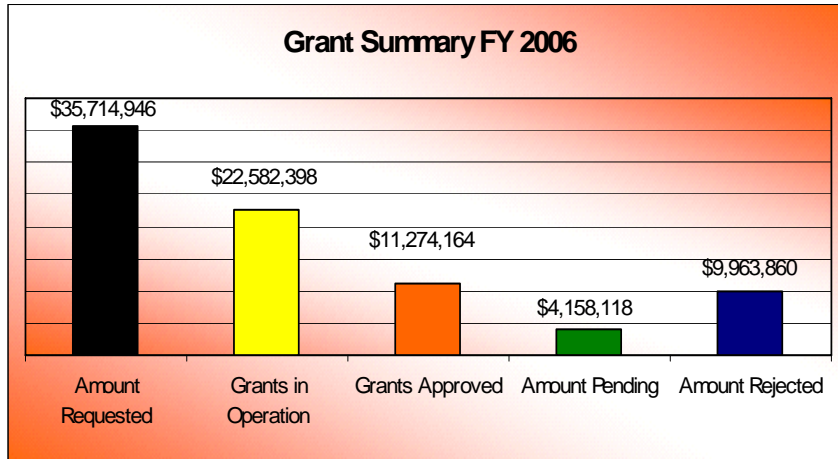
<b>Funded Project</b>	<b>Objective</b>	<b># of Partners</b>	<b>Amount</b>
<b>Mathematics Partnership Program of Southeastern Oklahoma</b>	2	7	\$41,602
<b>Connecting Problem Solving Strategies</b>	2	1	\$14,700
<b>Small Business Development Center</b>	2	2	\$152,779
<b>State Appropriated Funds for Special Project</b>	2	15	\$2,777
<b>Child Welfare Professional Enhance Program</b>	1, 2	1	\$91,413
<b>Center for Leadership and Learning</b>	2	1	\$10,000
<b>Geriatric Education</b>	2	1	\$14,256
<b>NASA Workforce Development Travel Grant</b>	1	1	\$6,087
<b>The NASA Space Grant and College Fellowship</b>	1	1	\$15,000
<b>Basic Nurse Education and Practice Program</b>	2, 3	1	\$194,385
<b>Bridges to the Baccalaureate</b>	1	4	\$195,659
<b>Rehabilitation Long-Term Training Rehabilitation</b>	1	1	\$150,062
<b>Rehabilitation Training-Long Term: Undergraduate</b>	1	1	\$75,762
<b>Title III Cooperative Grant</b>	2	3	\$408,802
<b>Title III Grant (CETL)</b>	3	1	\$398,474
<b>McNair Scholars Program</b>	1, 2	1	\$246,172
<b>Training for All Teachers</b>	2	1	\$174,032
<b>Creating History</b>	2	8	\$159,146
<b>Teaching as Historians</b>	2	2	\$157,110
<b>Veterans Upward Bound</b>	2	7	\$267,962
<b>Gear Up Team Project</b>	2	9	\$245,600

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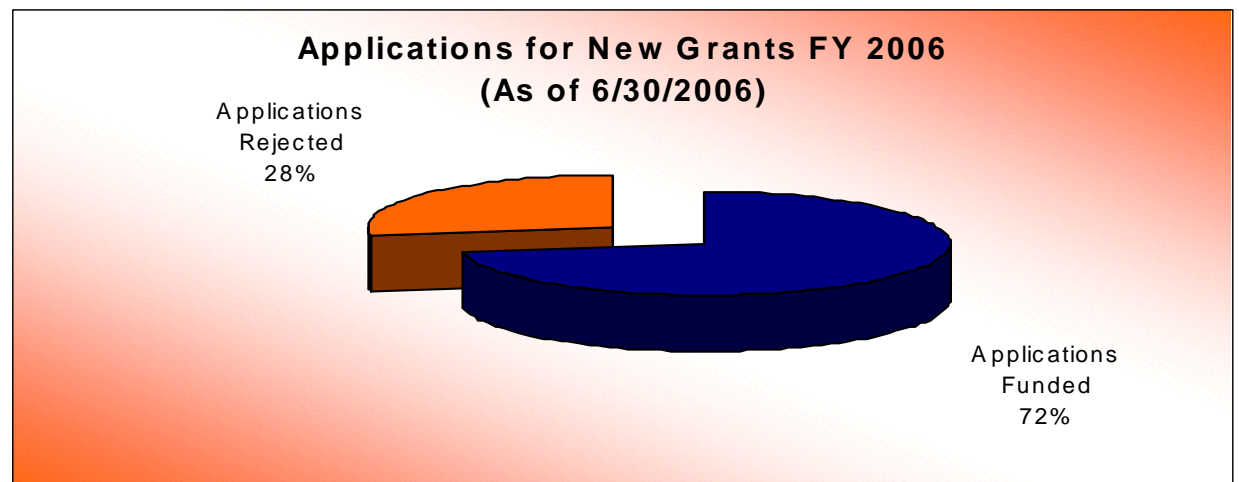
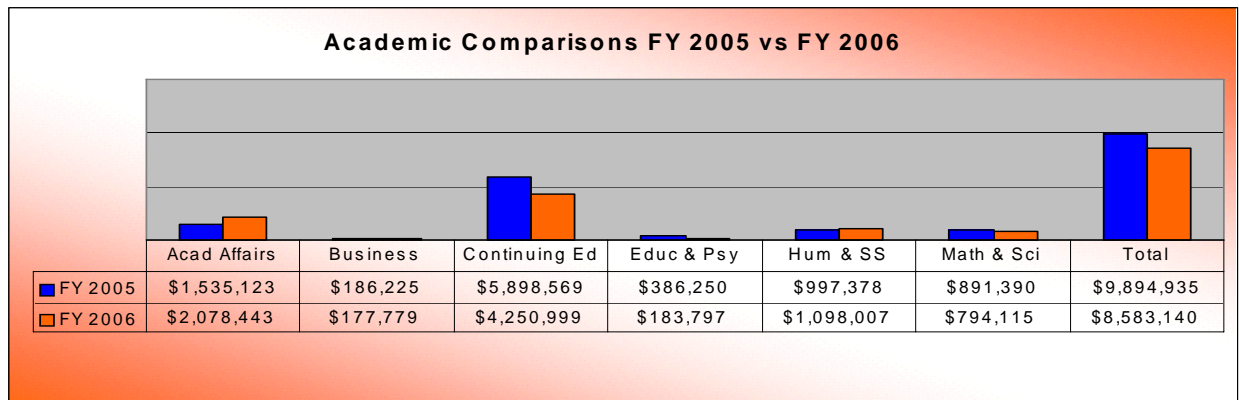
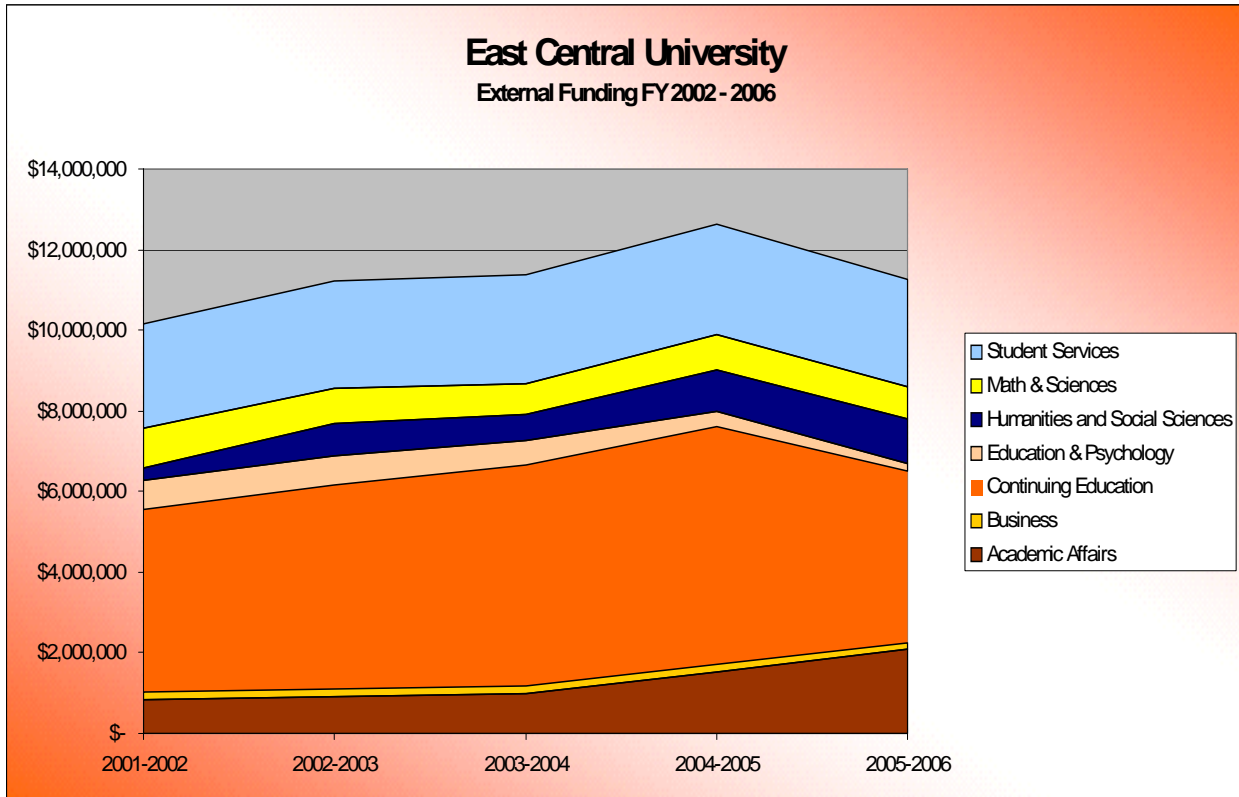
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<b>Funded Project</b>	<b>Objective</b>	<b># of Partners</b>	<b>Amount</b>
<b>Educational Opportunity Center</b>	<b>2</b>	<b>57</b>	<b>\$442,017</b>
<b>Upward Bound Regional Math/Science</b>	<b>2</b>	<b>30</b>	<b>\$288,575</b>
<b>Student Support Services Program</b>	<b>2</b>	<b>1</b>	<b>\$412,221</b>
<b>ECU Educational Talent Search</b>	<b>2</b>	<b>44</b>	<b>\$523,351</b>
<b>COPS 2005 Technology</b>	<b>1, 2, 3</b>	<b>1</b>	<b>\$295,993</b>
<b>Tribal Police Training Program</b>	<b>2, 3</b>	<b>8</b>	<b>\$100,000</b>
<b>Native Americans into Environmental Health</b>	<b>2</b>	<b>3</b>	<b>\$26,500</b>
<b>Environmental Research Apprenticeship Program</b>	<b>1</b>	<b>1</b>	<b>\$169,000</b>
<b>ECU Day of Percussion</b>	<b>2</b>	<b>7</b>	<b>\$3,200</b>
<b>Oklahoma Centennial Suite for Band Concert</b>	<b>2</b>	<b>3</b>	<b>\$3,500</b>
<b>TOTAL</b>			<b>\$10,453,483</b>

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## *Programmatic Accomplishments*

- ☞ The GRIC continued to provide one-on-one proposal development assistance during FY 2006. This strategy over the past four years has led to an increased funding success rate. To date, this strategy has had a positive impact in FY 2006 – 72% of submitted proposals have been funded. **Overall, 26 faculty-initiated proposals were submitted in FY 2006.**
- ☞ **The number of funded projects increased.** Most notably, the number of projects funded by private sources increased by 100%.
- ☞ **The number of funding sources increased.** The number of state funding sources increased from 11 to 13, and the number of private funding sources increased from 6 to 11. Overall, the number of funding sources increased from 23 to 30, an increase of 30%.
- ☞ **ECU's external funding portfolio became further diversified.** The University again experienced growth in the number and amount of projects funded by private sources. Private funding in FY 2006 increased by over 300%. In essence, ECU in FY 06 had an increased number of projects funded, and those projects were funded by more agencies; thus, reflecting greater diversification.
- ☞ A new Grants Research Specialist position was created and a highly-qualified individual was hired.

Source	Funding Level		Number of Projects		Number of Sources	
	FY 2005	FY 2006	FY 2005	FY 2006	FY 2005	FY 2006
Federal	\$5,395,195	\$5,423,605	21	21	6	6
State	\$6,853,083	\$4,292,034	32	26	11	13
Private	\$372,750	\$1,533,525	8	16	6	11
<b>TOTAL</b>	<b>\$12,621,028</b>	<b>\$11,249,164</b>	<b>61</b>	<b>63</b>	<b>23</b>	<b>30</b>

## *Faculty/Staff Accomplishments*

### Faculty Accomplishments FY 2006

- ☞ Twenty members of the faculty were recognized as first-time grant writers.
- ☞ Twenty-two members of the faculty were recognized for successfully securing external funds.
- ☞ Twenty-six new proposals were submitted by faculty.
- ☞ Three faculty members will attend the 2006 Summer Grant Writing Institute (EPSCoR) at OSHRE July 31-August 3, 2006.
- ☞ One faculty member will serve on the Course Curriculum and Laboratory Improvement review panel at the National Science Foundation in Arlington, Virginia.

# ANNUAL REPORT

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## GRIC Staff Accomplishments FY 2006

- ☞ GRIC Director served as PI on six proposals.
- ☞ GRIC Director served as chair of the Council on Grantsmanship and Research during its charter year.
- ☞ GRIC staff hosted the spring meeting of the Council on Grantsmanship and Research.
- ☞ GRIC staff participated in professional development sessions at 12 conferences, workshops, and grant-related meetings.
- ☞ GRIC staff presented in 12 local, state and national conferences and meetings.
- ☞ GRIC staff participated in 11 ECU and OSHRE collaborations.
- ☞ Dr. Whitney obtained membership in 2 ECU-based professional associations.
- ☞ GRIC staff developed a menu of professional development sessions for ECU faculty and administrators on grantsmanship for implementation during FY 07.
- ☞ GRIC staff collaborated with library staff and Dr. Chris Hart on the development of a poster template for Research Day.

## *Student Accomplishments*

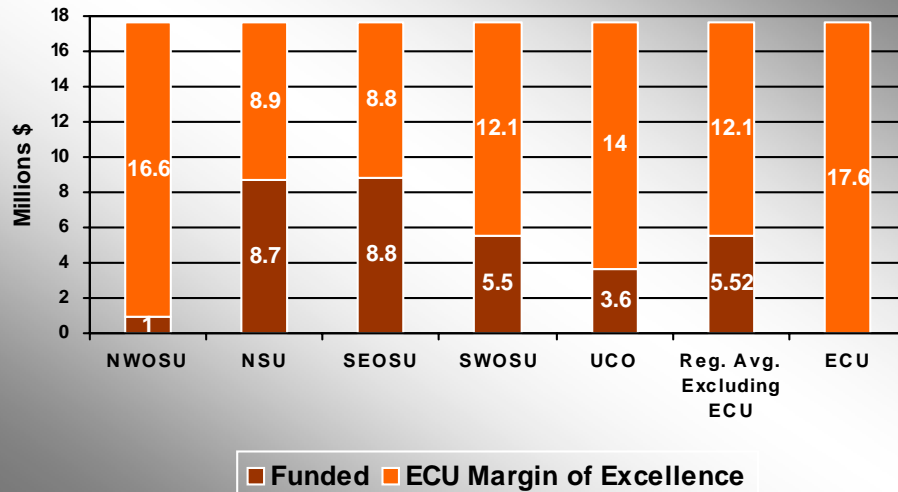
NA

## *Summary*

### **Strengths**

- ☺ External funding experienced a steady increase from FY 2001 through FY 2006. During this time, funding increased from \$10,147,493 to \$11,274,164.
- ☺ The number of projects funded through private sources and their corresponding awards increased significantly in FY 2006.
- ☺ The number of funded projects increased from 61 to 63 in FY 2006.
- ☺ Funding in two of the six major academic units increased in FY 2006. The Academic Affairs office had the greatest percentage increase (35%) followed by the School of Humanities & Social Sciences (10%).
- ☺ ECU continues to outperform Oklahoma's other regional universities in the area of external funding. In FY 2006, ECU's E & G Part II Budget (Grants & Contracts) exceeded the average for all other regional universities in Oklahoma by \$12.1, or 219%. These funds provide a significant margin of excellence above programming supported through traditional funding sources. External funding furthers the mission of East Central University by providing substantial resources to initiate projects and activities that would otherwise be impossible.
- ☺ An objective benchmark of external funding across Oklahoma's state system of higher education can be achieved by comparing E & G, Part II (Sponsored Programs) budgets as a percentage of E & G, Part I (General Operation) budgets. In such a comparison, ECU ranks fifth in the Oklahoma system with an E & G, Part II budget that is 61% as much as the E & G, Part I budget. Southeastern Oklahoma State University is the next closest Regional University (26%).

## E & G Part II Peer Comparisons (FY 2006)



	Institution	E & G Part II, Sponsored Programs	E & G Part I, Operations	E & G Part II as % of E & G Part I
1.	LU	23,673,800	28,045,867	84%
2.	OU HSC	113,787,481	138,437,247	82%
3.	OSU AG EXP	20,917,115	25,906,420	81%
4.	OSU VET MED	15,000,000	22,578,473	66%
5.	<b>ECU</b>	<b>17,579,567</b>	<b>28,948,624</b>	<b>61%</b>
6.	RCC	3,998,009	8,295,270	48%
7.	OSU COOP EXT	14,304,392	30,443,805	47%
8.	OU	122,890,974	311,362,771	39%
9.	RSU	8,000,000	22,952,164	35%
10.	CASC	2,562,667	9,012,098	28%
11.	SEOSU	8,751,576	33,453,473	26%
12.	WOSC	2,031,079	7,945,365	26%
13.	OSU COM	8,638,470	37,248,286	23%
14.	OSU TB OKM	4,559,400	22,628,880	20%
15.	OSU	42,000,000	252,236,799	17%
16.	OSU TB OKC	2,842,270	17,912,306	16%
17.	SWOSU	5,475,000	38,750,192	14%
18.	NSU	8,705,285	61,731,209	14%
19.	CU	4,484,457	37,753,148	12%
20.	CSC	1,026,302	9,234,787	11%
21.	EOSC	904,437	9,443,398	10%
22.	ROSE	2,996,000	32,347,475	9%
23.	MSC	837,402	9,128,810	9%
24.	OCCC	3,508,109	39,402,454	9%
25.	NOC	1,332,077	16,366,148	8%
26.	NWOSU	992,287	16,896,979	6%
27.	NEOAMC	732,500	12,603,078	6%
28.	UCO	3,624,747	94,166,075	4%
29.	TCC	2,971,094	83,833,019	4%
30.	USAO	276,491	10,198,157	3%
31.	OPSU	220,000	12,054,909	2%
32.	OSU TULSA	32,617	20,077,050	0%
33.	OU LAW	0	11,850,000	0%
34.	OU Tulsa	0	7,498,677	0%
35.	SSC	0	8,187,549	0%
	<b>TOTAL</b>	<b>449,655,605</b>	<b>1,528,930,962</b>	<b>29%</b>

## Weaknesses

- ⊖ Overall, external funding decreased from \$12.6 million in FY 2005 to \$11.3 million in FY 2006, a decrease of 10.67%. Four of the six academic units also experienced decreases. The School of Education and Psychology had the largest percentage decrease (52%), while the Continuing Education had the greatest overall decrease of \$1.6 million.
- ⊖ While indirect cost recovery has substantially increased since 1998, the amount of institutional resources devoted to the GRIC have remained relatively consistent. As a proactive move to sustain and to continue this growth, the University should consider the allocation of additional institutional resources to the GRIC. Another proactive move that should be considered is the development of a strategy to distribute a portion of the recovered indirect costs back to those schools and units securing external funding.
- ⊖ The grant incentive program is becoming dated and needs to be reinvigorated.
- ⊖ Data tracking and reporting requirements for the GRIC are increasing and are quickly outgrowing the functionality of the current database. There is a growing need to acquire a grants management software system.